



**ASC X12 Standards for Electronic Data Interchange
Technical Report Type 3**

Implementation Acknowledgment For Health Care Insurance (999)

Change Log: 005010 - 007030

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Location	X335 Implementation Acknowledgment For Health Care Insurance Chapter 1. Purpose and Business Information
Action	Modify Chapter 1 Section 1.1 Implementation Purpose and Scope, Paragraph 1 Changed to: The purpose of this implementation guide is to provide standardized data content and structure to users of the ASC X12 999 transaction set for Health Care Insurance. This implementation guide is intended to enable a receiver of a functional group based on an X12 Implementation Guideline (TR3) related to Health Care Insurance business processes, to report syntactical errors as specified by that transaction's implementation guideline (TR3), or to acknowledge receipt of an error-free transaction set. Paragraph 2 and 3 were removed.
CR 1141	Include the term 'syntactical errors' in the purpose and scope.
Location	X335 Implementation Acknowledgment For Health Care Insurance 1.3 Implementation Limitations
Action	Modify Chapter 1 Section 1.3.2 Other Usage Limitations, Paragraph 1 Changed to: The ASC X12 999 transaction set is designed to report on conformance against an implementation guideline (TR3), but can also report on conformance with the standard syntax requirements.
CR 1153	To clarify intended use.
Location	X335 Implementation Acknowledgment For Health Care Insurance 1.3 Implementation Limitations
Action	Modify Chapter 1 Section 1.3.2 Other Usage Limitations, Paragraph 6 Changed to: This ASC X12 999 Implementation Guideline must NOT be used to respond to any management transaction sets intended for acknowledgments, i.e. TS 997 and 999, or interchange control segments related to acknowledgments, i.e. TA1 and TA3.
CR 1153	To clarify intended use.
Location	X335 Implementation Acknowledgment For Health Care Insurance 1.10 Data Overview

Action	Modify Chapter 1 Section 1.10.1.1 Response Process, Figure 1.1 Sequence Diagram for clarity.
CR 303	Change figure 1.1, the Information Flow diagram, by removing the X12 Standard conformance box and everything below.
Location	X335 Implementation Acknowledgment For Health Care Insurance 999 0400 IK3 IK3 - Error Identification
Action	Delete Data Element Note Loop IK3 and Loop 2100 / IK303 and CTX04 (Loop Identifier Code) Only the first 4 characters of the loop identifier must be used
CR 1370	Remove CTX04 note 'Only the first 4 characters of the loop identifier must be used.'
Location	X335 Implementation Acknowledgment For Health Care Insurance 999 0500 IK3 CTX - Segment Context
Action	Modify Data Element Situational Rule CTX06 (Reference in Segment) Changed to: Required when CTX05 is used and the data element reference number of the data element identified in CTX05-1 is known by the submitter of the 999. If not required by this implementation guide, do not send.
CR 1373	Revise the CTX06 situational rule based on revisions to DE447 in the underlying standard.
Location	X335 Implementation Acknowledgment For Health Care Insurance 999 0500 IK3 CTX - Segment Context
Action	Delete Data Element Note Loop IK3 and Loop 2100 / IK303 and CTX04 (Loop Identifier Code) Only the first 4 characters of the loop identifier must be used
CR 1370	Remove CTX04 note 'Only the first 4 characters of the loop identifier must be used.'
Location	X335 Implementation Acknowledgment For Health Care Insurance 999 0500 IK3 CTX - Business Unit Identifier
Action	Modify Data Element Note CTX01-02 (Context Reference)

When the below identified data elements are used and exceed a length of 35 characters, truncate and use the first 35 characters.

Data Element Standard Name Implementation Industry Usage
 TRN02 Reference Identification Trace Number
 REF02 Reference Identification Subscriber Identifier
 CLM01 Reference Identification Provider's Assigned Claim Identifier
 NM109 Identification Code Patient Primary Identifier (275)
 NM109 Identification Code Subscriber Member Number (278)
 NM109 Identification Code Provider Name (274)
 NM109 Identification Code Site or Location Identifier (274)

CR 796 Review the length requirements for CLM01 to ensure consistency between related transactions.

Location X335 | Implementation Acknowledgment For Health Care Insurance | 999 | 0600 | IK4
 IK4 - Implementation Data Element Note

Action **Modify Data Element Situational Rule**
 IK402 (Data Element Reference Code)

Changed to:
 Required when the data element reference number for the data in error is known, and it is NOT a composite data element. If not required by this implementation guide, do not send.

CR 797 Revise the situational rule for IK402 as it is a numeric data type that cannot contain an ID for a composite data element.

Location X335 | Implementation Acknowledgment For Health Care Insurance | 999 | 0700 | IK4
 CTX - Element Context

Action **Modify Data Element Usage**
 Changed CTX05 usage from Situational to Required.

CR 299 Change CTX05 to Required.

Location X335 | Implementation Acknowledgment For Health Care Insurance | 999 | 0700 | IK4
 CTX - Element Context

Action **Modify Data Element Situational Rule**
 CTX06 (Reference in Segment)

Changed to:
 Required when CTX05 is used and the data element reference number of the data element identified in CTX05-1 is known by the submitter of the 999. If not

required by this implementation guide, do not send.

CR 1373 Revise the CTX06 situational rule based on revisions to DE447 in the underlying standard.

Location X335 | Implementation Acknowledgment For Health Care Insurance | 999 | 0700 | IK4
CTX - Element Context

Action **Delete Data Element Note**
Loop IK3 and Loop 2100 / IK303 and CTX04 (Loop Identifier Code)

Only the first 4 characters of the loop identifier must be used

CR 1370 Remove CTX04 note 'Only the first 4 characters of the loop identifier must be used.'

Location X335 | Implementation Acknowledgment For Health Care Insurance | 999 | 0900
AK9 - Functional Group Response Trailer

Action **Add Data Element Code Value**
AK905 (Functional Group Syntax Error Code)

7 (Invalid Application Sender's Code)
8 (Invalid Application Receivers Code)
9 (Invalid Responsible Agency Code)
30 (Invalid Group Date)
31 (Invalid Group Time)

CR 300 AK905: add values for date, time, and responsible agency code. Other values may also be needed.